



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICANT: Geoff W. Taylor

SERIAL NO.: 10/689,019

GROUP ART UNIT:

FILED: October 20, 2003

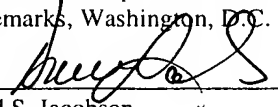
EXAMINER:

FOR: Imaging Array Utilizing  
Thyristor-Based Pixel Elements

ATT'Y DOCKET: OPE-007

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

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David S. Jacobson  
Reg. No. 39,235

4/13/04  
Date

Sir:

SUBMITTAL OF  
DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure 37 CFR Section 1.56, enclosed is a completed form PTOL-1449 as well as copies of the cited documents which relate to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

The two relevant PCT applications are PCT/US02/06802 and PCT/US03/13183, both by Taylor.

The enclosed articles are as follows:

"A Resistive-Gate  $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}/\text{GaAs}$  2DEG CCD With High Charge-Transfer Efficiency at 1 Ghz" describes their high speed analog signal processing capability and intrinsic radiation hardness.

"Simluation, Design, and Fabrication of Thin-Film Resistive-Gate GaAs Charge Coupled Devices", Describes the development of high speed GaAs CCD's for the capture of single-event electro/photo-transients in scientific experiments.

"The Tacking CCD: A New CCD Concept" This is a new type of charge transport mechanism that is suitable for junction as well as MOS-type CCD's.

"GaAs Charge-Coupled Devices". This reports on the first Canadian involvement in the design, process development, fabrication, and evaluation of a gallium arsenide (GaAs) charge-coupled device (CCD). The project is application driven.

"Characterization of Evaporated Cr-SiO Cermet Films for Resistive-Gate CCD Applications". This describes the characterization of electron-beam evaporated Cr-SiO films (cermet) used for resistive-gate charge-coupled devices (CCD's).

"A Two-Phase GaAs Cermet Gate Charge-Coupled Device" describing the design, fabrication, and operation of a 64-pixel, 2-phase GaAs cermet gate charge-coupled device.

"Optical Charge Injection Into a Gallium Arsenide Acoustic Charge Transport Device". This article describes the transport of photoelectrons by the (110) propagating surface acoustic wave (SAW) on (100)-cut gallium arsenide.

"Three-Phase GaAs Schottky-Barrier CCD Operated up to 100-MHz Clock Frequency" This article describes the fabrication of GaAs CCD's with 5- $\mu$ m electrodes using a process fully compatible to MESFET integrated circuits.

"Uniphase Operation of a GaAs Resistive Gate Charge-Coupled Device" describes the operation and device fabrication of the GaAs resistive gate charge coupled device.

"Two-phase GaAs Cermet-Gate Charge-Coupled Devices" describes the operation and fabrication of the devices.

"Optimization of Thin-Film Resistive-Gate and Capacitive-Gate GaAs Charge-Coupled Devices". This article describes the computer simulation of high-speed gallium arsenide charge coupled devices performed by using a two-dimensional semiconductor device, simulation program.

"The Surface Potential Variation in the Interelectrode Gaps of GaAs Cermet-Gate Charge-Coupled Devices". This is a transmission line model for the cermet, GaAs junction which is proposed and used to investigate the variation of the surface potential along the interelectrode gaps of a GaAs cermet gate charge-coupled device (CMCCD).

"KODAK CCD Primer" This primer is intended for those involved with CCD image sensing applications who wish to obtain additional insight into the mechanisms of CCD sensor principles and operations.

"Quantum Well Infrared Photodetector (QWIP) Focal Plane Arrays" This article describes the various types of QWIPs, Figures of Merit, Light Coupling, imaging focal plane arrays and applications.

"Solid-State Imaging with Charge-Coupled Devices" describes the timing diagram of the four-phase clock-pulse generator.

"Submicrometre Gate Length Scaling of Inversion Channel Heterojunction Field Effect Transistor". This article reports the scaling of the inversion channel HFET to 0.5µm and discusses the scaling implications on the device performance and characteristics.

"Theoretical and Experimental Results for the Inversion Channel Heterostructure Field Effect Transistor". This article describes the device structure, charge and voltage relations, threshold voltage, short channel effects, experimental results, dependence of the threshold voltage on collector bias, conduction characteristics, and conclusion.

"Broad-Band GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As QWIPS" is a technical support package which describes the development of the broad-band responses which are obtained by varying depths and widths of the wells and the thicknesses of the barriers between the wells.

"High Temperature Annealing of Modulation Doped GaAs/AlGaAs Heterostructures for FED Applications" describes the experiments of different techniques of the applications.

"Heterojunction Field-Effect Transistor (HFET)" A new form of FET is proposed for implementation in a heterojunction material system such as AlGaAs/GaAs.

"Detection of Terahertz Light With Intersubband Transitions in Semiconductor Quantum Wells" This article discusses the results from devices that have been designed to let us directly study several detection methods and general intersubband dynamics.

"10-Gb/s High-Speed Monolithically Integrated Photoreceiver Using InGaAs p-i-n PD and Planar Doped InAlAs/InGaAs HEMT's" describes an extremely high-speed monolithically integrated receiver whose 3 dB down frequency is 8 GHz.

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"10-Gbit/s InP-Based High-Performance Monolithic Photoreceivers Consisting of p-i-n Photodiodes and HEMT's". This article describes the Circuit Design, Structure and Fabrication, and Device and OEIC Array Characteristics.

"10 Ghz Bandwidth Monolithic p-i-n Modulation-Doped Field Effect Transistor Photoreceiver". This article describes the fabrication of the photoreceiver circuit.

"20 Gbit/s Long Wavelength Monolithic Integrated Photoreceiver Grown on GaAs" describes the first 20Gbit/s long wavelength monolithic integrated photoreceiver grown on GaAs.

"Monolithic Integrated Optoelectronic Circuits". This article reviews the activities of the Fraunhofer IAF on optoelectronic integrated circuits (OEIC's) for serial and parallel optical links.

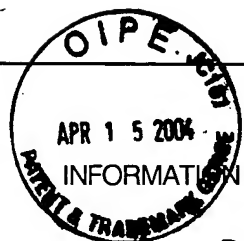
The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,



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Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	A	6,479,844	11/12/02	Taylor	257	192	
	B	2002/0067877A1	06/06/02	Braun	385	15	
	C	6,351,001	02/26/02	Stevens et al.	257	223	
	D	2001/0043629	11/2001	Sun et al.	372	43	
	E	5,698,900	12/16/97	Bozada et al.	257	744	
	F	4,683,484	07/28/97	Derkits, Jr.	357	16	
	G	5,517,244	05/14/96	Stekelenburg et al.	348	305	
	H	5,436,759	07/25/95	Dijaili et al.	359	333	
	I	5,422,501	06/06/95	Bayraktaroglu	257	195	
	J	5,386,128	01/95	Fossum et al.	257	183.1	
	K	5,337,328	08/09/94	Lang et al.	372	45	
	L	5,202,896	04/13/93	Taylor	372	50	
	M	5,105,248	04/14/92	Burke, et al.	357	24	
	N	5,010,374	04/23/91	Cooke et al.	357	16	
	O	4,995,061	02/19/91	Hynecek	377	58	
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	Q	4,899,200	02/06/90	Shur et al.	357	30	
	R	4,829,272	05/09/89	Kameya	333	139	
	S	4,827,320	05/02/89	Markoc et al.	357	22	
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	V	4,658,403	04/14/87	Takiguchi et al.	372	96	
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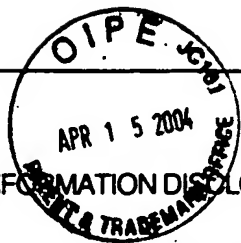
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	B	4,229,752	10/21/80	Hynecek	357	24	
	C	3,919,656	11/11/75	Sokal et al.	330	51	
	D	6,031,243	02/29/00	Taylor	257	192	
	E	5,422,501	06/06/95	Bayraktaroglu	257	744	
	F	5,698,900	12/16/97	Bozada et al.	257	13	
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	A	X PCT/US02/06802	09/12/02	Taylor			
	B	X PCT/US03/13183	10/29/03	Taylor			
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V. Hurm et al. "20 Gbit/s Long Wavelength Monolithic Integrated Photoreceiver Grown on GaAs", Electronic Letters, Vol. 33, No. 7 March 27, 1997

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